| FORM 1449 **INFORMATION DISCLOSURE STATEMENT** | Docket Number: 14917.0461US01 | Application Number: 09/886,146 |
|---|---|---|
| **IN AN APPLICATION** | Applicant: Brezak et al. | |
| (Use several sheets if necessary) | Filing Date: June 20, 2001 | Group Art Unit: 2153 |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---|---|---|---|---|---|---|
| /YB/ | 6,742,114 | 05/25/04 | Carter et al. | | | |
| | 6,678,733 | 01/13/04 | Brown et al. | | | |
| | 6,653,419 | 11/25/03 | Petrov et al. | | | |
| | 6,643,774 | 11/04/03 | McGarvey | | | |
| | 6,405,312 | 06/11/02 | Ly | | | |
| | 5,864,665 | 01/26/99 | Tran | | | |
| | 5,764,890 | 06/09/98 | Glasser et al. | | | |
| | 5,586,260 | 12/17/96 | Hu | | | |
| | 20050074126 | 04/07/05 | Stanko | | | |
| | 20030188193 | 10/02/03 | Venkataramappa | | | |
| /YB/ | 20030018913 | 01/23/03 | Brezak et al. | | | |

## FOREIGN PATENT DOCUMENTS

| | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES | NO |
|---|---|---|---|---|---|---|---|
| | | | | | | | |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|---|---|---|
| /YB/ | | A-Select, *Overview of A-Select* [online], © 2002-2005, [Retrieved 2007-08-10], Retrieved from: http://www.a-select.org/aselect_overview.html. |
| | | A-Select, *Operational Concept and System Description* [online], [Retrieved 2007-12-10], Retrieved from: http://www.a-select.org/doc/aselect1.4.1.ocd.html#Toc103358174. |
| | | Condell, M., *A Security Model for the Information Mesh*, May 9, 1996. |
| | | Duserick et al., *Whitepaper on Liberty Protocol and Identity Theft* [online], 2004-2-20, [Retrieved 2007-12-10], Retrieved from: http://www.projectliberty.org/liberty/content/view/full/340/(offset)/30. |
| | | Erdos, M., Pato, J., *Extending the OSF DCE Authorization System to Support Practical Delegation* [online], PSRG Workshop on Network and Distributed System Security, February 11-12, 1993, [Retrieved 2007-04-09], Retrieved from: http://www.opengroup.org/tech/rfc/mirror-rfc/rfc3.0.txt. |
| | | Faden, G., *Authorization Infrastructure in Solaris* [online], August 2001, [Retrieved 2007-12-10], Retrieved from: http://developers.sun.com/solaris/articles/ais.html. |
| | | Gasser, M., McDermott, E., *An Architecture for Practical Delegation in a Distributed System*, 1990. |
| /YB/ | | Kemp, J., Canales, C., Glade, B., Madsen, P., Rouault, J., *Liberty ID-WSF – a Web Services Framework* [online], 2004, [Retrieved 2007-12-10], Retrieved from: http://www.projectliberty.org/liberty/content/view/full/340/(offset)/30. |

| EXAMINER | /Yasin Barqadle/ (10/13/2008) | DATE CONSIDERED | 10/13/2008 |
|---|---|---|---|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

| FORM 1449* INFORMATION DISCLOSURE STATEMENT | Docket Number: 14917.0461US01 | Application Number: 09/886,146 |
|---|---|---|
| IN AN APPLICATION | Applicant: Brezak et al. | |
| (Use several sheets if necessary) | Filing Date: June 20, 2001 | Group Art Unit: 2153 |

| | | |
|---|---|---|
| /YB/ | | Landau, S., Hodges, J., *A Brief Introduction to Liberty* [online], 2003-02-13, [Retrieved 2007-12-10], Retrieved from: http://research.sun.com/liberty_intro/ABItL/index.html. |
| | | Layer7 Technologies, *Identity Federation and Delegated Authentication for Web Services* [online], 2005-9-11, [Retrieved 2007-12-10], Retrieved from: http://web.archive.org/web/20050911153538/http://www.layer7-tech.com/solutions/identity_federation.html. |
| | | Liberty Alliance Project, *Introduction to the Liberty Alliance Identity Architecture* [online], March 2003, [Retrieved 2007-12-10], Retrieved from: http://www.projectliberty.org/liberty/content/view/full/340/(offset)/30. |
| | | Novell, *Novell iManager: Planning Security for Delegated Administration* [online], © 2003, [Retrieved 2007-12-10], Retrieved from: http://www.novell.com/collateral/4621360/4621360.html. |
| | | Stevens, W., Rago, S., *Advanced Programming in the UNIX Environment*, Second Edition, Addison-Wesley, 2005. |
| | | Sun, *Secure Enterprise Computing with the Solaris 8 Operating Environment* [online], 2000, [Retrieved 2007-12-10], Retrieved from: http://www.sun.com/software/whitepapers/wp-s8security/wp-s8security.pdf. |
| | | Sun (Solaris Role Based Access Control) (RBAC) (No Document) |
| | | Sun (Trusted Solaris) (No Document) |
| | | *Sun Microsystems Documentation* [online], [Retrieved 2007-12-10], Retrieved from: http://docs.sun.com/app/docs/816-1047/6m7g9esuc?a=view. |
| | | Tock, T., *An Extensible Framework for Authentication and Delegation*, 1994. |
| | | Tuvell, W., *Response to 'Problems with DCE Security Services'*, ACM SIGCOMM Computer Communication Review, Volume 25, Issue 2, April 1996. |
| /YB/ | | Wikipedia, *Role-based access control* [online], [Retrieved 2007-12-11], Retrieved from: http://en.wikipedia.org/wiki/RBAC. |

**27488**
PATENT TRADEMARK OFFICE

| EXAMINER | /Yasin Barqadle/ (10/13/2008) | DATE CONSIDERED | 10/13/2008 |
|---|---|---|---|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE